

## REVERSE SOLICITATION AND INDEPENDENT INITIATIVE DECLARATION

Taurex Global Limited is regulated by the Seychelles Financial Services Authority under Securities Dealer Licence No. SD092.

By proceeding with the account opening and/or partnership application, I hereby declare, represent, and acknowledge that:

### 1. Independent Initiative

I have independently approached and contacted Taurex Global Limited of my own initiative and volition to inquire about, apply for, and/or establish an account, trading relationship, partnership arrangement, or Introducing Broker relationship with Taurex Global Limited.

### 2. No Solicitation or Promotion

I confirm that my decision to contact and engage with Taurex Global Limited was not the result of any marketing, promotion, financial promotion, solicitation, recommendation, investment advice, inducement, or invitation directed at me by:

- a. Taurex Global Limited;
- b. Taurex Financial Services LLC, a company licensed by the UAE Capital Market Authority ("CMA");
- c. Taurex Limited, a company authorised and regulated by the UK Financial Conduct Authority ("FCA"); or
- d. Any employee, officer, representative, contractor, affiliate, or agent acting on behalf of any of the above entities.

### 3. Introduction by Third Parties

Where I was referred to, informed about, or introduced to Taurex Global Limited by a third party, I acknowledge and confirm that:

- a. Such third party was acting independently and not on behalf of Taurex Financial Services LLC;
- b. The third party did not conduct any regulated activity on behalf of Taurex Financial Services LLC;
- c. My decision to proceed with opening an account or entering into a relationship with Taurex Global Limited was made independently and of my own accord; and
- d. Any communications, discussions, or arrangements with such third party do not create any contractual, advisory, or account relationship between myself and Taurex Financial Services LLC.

### 4. Contractual Relationship

I understand and acknowledge that any account opened by me is opened directly with Taurex Global Limited and that all trading services, products, transactions, deposits, withdrawals, and account operations are provided solely by Taurex Global Limited.

I further acknowledge that I have no trading, account, investment, advisory, or contractual relationship with Taurex Financial Services LLC unless separately documented under an independent agreement.

### 5. UAE Residents

Where I am resident in the United Arab Emirates, I acknowledge and confirm that I approached Taurex Global Limited on a reverse-solicitation basis and that my account was not solicited, promoted, marketed, or offered to me by Taurex Financial Services LLC.

I acknowledge that as I am dealing directly with Taurex Global Limited, and not through a UAE-licensed intermediary, my relationship is not arranged, managed or supervised by any CMA-licensed entity, and I will therefore not benefit from the oversight, conduct of business protections, disclosures, suitability or appropriateness assessment (where applicable), or the complaint-handling and recourse mechanisms that may be available where a client relationship is introduced, arranged or managed by an entity licensed by the CMA. Any recourse in respect of my account lies with Taurex Global Limited, and not with the CMA or any other UAE authority or investor-protection arrangement.

I acknowledge that this arrangement has not been structured for the purpose of circumventing applicable laws or regulatory requirements and reflects my own independent decision to contract with Taurex Global Limited. I have knowingly and voluntarily elected to establish my relationship directly with Taurex Global Limited rather than through Taurex Financial Services LLC.

## **6. Additional Declarations for Introducing Brokers / Partners**

Where I am applying as an Introducing Broker, Affiliate, Partner, or Referral Partner, I further acknowledge and undertake that:

- a. I shall not represent myself as an employee, agent, representative, branch, or office of Taurex Financial Services LLC;
- b. I shall not conduct regulated financial services activities requiring authorisation under the laws of the United Arab Emirates or any other jurisdiction where I operate;
- c. I shall not market, promote, solicit, advise, or otherwise target UAE residents on behalf of Taurex Global Limited through Taurex Financial Services LLC;
- d. I shall only introduce clients who independently express an interest in opening an account with Taurex Global Limited and who complete the onboarding process directly with Taurex Global Limited; and
- e. I shall ensure that any prospective client introduced by me understands that their contractual and trading relationship will be exclusively with Taurex Global Limited.

## **7. Accuracy of Declaration**

I acknowledge that Taurex Global Limited is entitled to rely upon the representations made in this declaration for regulatory, compliance, licensing, and legal purposes.

I further acknowledge that if any statement made herein is inaccurate, misleading, incomplete, or subsequently found to be false, Taurex Global Limited may reject, suspend, restrict, or terminate my account and/or partnership relationship without notice.

## **8. Complaints and Regulatory Authority**

I acknowledge and understand that my account, trading relationship, partnership arrangement, and any services provided to me are offered solely by Taurex Global Limited, a company regulated by the Financial Services Authority of Seychelles under Securities Dealer Licence No. SD092.

Accordingly, any complaint, dispute, claim, concern, or regulatory matter relating to my account, transactions, trading activity, or relationship with Taurex Global Limited shall be directed to and handled by Taurex Global Limited in accordance with its Complaints Handling Procedure.

I further acknowledge that, where I remain dissatisfied with the outcome of a complaint submitted to Taurex Global Limited, I may refer the matter to the Financial Services Authority of Seychelles ("FSA Seychelles"), being the competent regulatory authority responsible for the supervision of Taurex Global Limited.

I acknowledge that Taurex Financial Services LLC is not the service provider in relation to my account with Taurex Global Limited and, unless otherwise required by applicable law, shall have no responsibility for investigating, resolving, or responding to complaints arising from my relationship with Taurex Global Limited.

### **Declaration**

I acknowledge and understand that my contractual relationship is solely with Taurex Global Limited and that any complaints, disputes, or regulatory concerns relating to my account shall be addressed through Taurex Global Limited's complaints process and, where applicable, escalated to the Financial Services Authority of Seychelles.